

3763



**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: PHILLIP D. PURDY

Serial No.: 09/905,670

Examiner: Unknown

Filed: July 13, 2001

Group Art Unit: 3763

For: METHODS AND APPARATUSES FOR NAVIGATING THE  
SUBARACHNOID SPACE

Docket No.: 1001.1599102

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TECHNOLOGY CENTER R3700

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 4<sup>th</sup> day of April, 2003.

By

J. Scot Wickhem

Dear Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form PTO-1449 with Twenty-one (21) references.
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:

J. Scot Wickhem, Reg. No. 41,376

CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South  
Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



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PATENT TRADEMARK OFFICE



**P A T E N T**

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**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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By

  
J. Scot Wickhem

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

The present Information Disclosure Statement is being filed prior to the mailing date of a first Official Action reflecting an examination on-the-merits, and hence is believed to be timely filed in accordance with 37 C.F.R. §1.97(b). Accordingly, no fees are due for consideration of the items listed on the enclosed Form 1449. However, should any fees under 37 C.F.R. §§1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Crompton, Seager & Tufte Deposit Account No.: 50-0143.

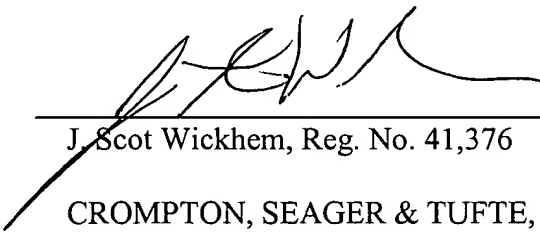
Applicant respectfully requests that the listed documents be made of record in the present case. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

PHILLIP D. PURDY

By their attorney,

Date: April 4, 2003

  
J. Scot Wickhem, Reg. No. 41,376

CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South  
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Facsimile: (612) 359-9349



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- Date Mailed: April 4, 2003

Sheet 1 of 2

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 1001.1599102	Serial No.: 09/905,670
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Phillip D. Purdy	
	Filing Date: July 13, 2001	Group Art: 3763



### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
	4,808,157	02/28/1989	Coombs		
	4,904,237	02/27/1990	Janese		
	4,911,163	03/27/1990	Fina		
	5,160,323	11/03/1992	Andrew		
	5,256,146	10/26/1993	Ensminger et al.		
	5,378,241	01/03/1995	Haindl		
	5,738,650	04/14/1998	Gregg		
	5,830,188	11/03/1998	Abouleish		
	5,846,226	12/08/1998	Urmey		
	5,928,260	07/27/1999	Chin et al.		
	6,026,316	2/15/2000	Kucharczyk et al.		
	6,061,587	05/09/2000	Kucharczyk et al.		
	6,162,170	12/19/2000	Foley et al.		
	6,251,115	06/26/2001	Williams et al.		
	6,272,370	08/07/2001	Gillies et al.		
	6,319,241	11/20/2001	King et al.		
	6,352,530	03/05/2002	Mangosong		
	6,379,331	04/30/2002	Barbut et al.		

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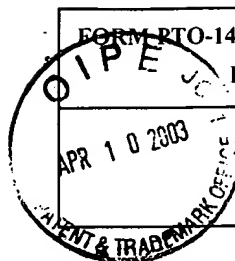
PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

Date Mailed: April 4, 2003

Sheet 2 of 2

	<b>FORM PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT</b>	<b>Atty. Docket No.:</b> 1001.1599102	<b>Serial No.:</b> 09/905,670
	<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	<b>Applicant:</b> Phillip D. Purdy	
		<b>Filing Date:</b> July 13, 2001	<b>Group Art:</b> 3763

### FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	TRANSLATION	
					YES	NO
	WO 00/51669	09/08/2000	WIPO			

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	"Back Break," Article from <i>Forbes Magazine</i> , pg 123-124, August 12, 2002.
	"Keeping it Cool," Article from <i>Health Communities, United Hospital</i> , 11(1):1, 8, Winter 2003.

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